# //WealthForgeRegisteredRepresentativeRecommendationForm

*Investor Name(s):* _____

**Required for recommendations into 506b exempt offerings**: *(please select one of the following)*
☐ Prior investment experience with this investor.
☐ Investor qualification questionnaire for this investor completed as of: _____

1) **Investor Goals**: *What does the investor want to gain from this investment(s) (Check all appropriate boxes)*
   ☐ Preserve Capital
   ☐ Income from Distributions Paid Out
   ☐ Growth of Capital
   ☐ Aggressive Growth of Capital
   ☐ 1031 Exchange
   ☐ Other: _____

2) **List of Offerings Recommended to Investor**: *List the offerings the Registered Rep presented as recommended options to the investor*
   1. _____
   2. _____
   3. _____
   4. _____
   5. _____
   6. _____
   7. _____

3) **Why Registered Rep Chose the Recommended Offerings**: *What ultimately led the Registered Rep to recommending these to the investor (i.e. this is in line with investor's goal of growth of capital and is within their designated Time Horizon of 5-8 years).*

   _____
   _____
   _____
   _____
   _____
   _____

4) **Overconcentration in Alternative Investments:** *If the investor has over 20% of their net worth invested in Alternative Investments(including this and any pending investments), explain why it is in the investor's best interest to maintain or increase such concentration.*

   _____
   _____
   _____

5) ____ I certify that the information listed on the Investor Profile Form was obtained prior to making these recommendations. Further, I certify the information is correct and matches the representation of my sales practice contained in my disclosure within the Registered Representative Form BI.

_____
Registered Rep Name

_____
Registered Rep Signature

_____
Date Signed

WEALTHFORGE